



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s) :** **Handa et al.** **Group :** **3624**

**Serial No. :** **09/665,305** **Examiner :** **Geoffrey R. Akers**

**Filed :** **September 19, 2000**

**Title :** **Method and System of Managing Credit for the Electronic Trading of Financial Instruments**

## CERTIFICATE OF MAILING

I hereby certify that this paper and all enclosures referred to therein, including a Petition for Extension of Time, are being deposited with the United States Postal Service as First Class Mail, with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 10, 2003.

Name of Person Mailing: Josephine HardySignature of Person Mailing: 

Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

**RECEIVED**

APR 18 2003

## AMENDMENT TRANSMITTAL and FEE AUTHORIZATION

**GROUP 3600**

Dear Sir:

The fee required to be filed with the accompanying amendment filed herewith concerning the above-identified application has been estimated to be **\$18.00**. The claim amendment fee has been estimated as shown below:

				SMALL ENTITY		OTHER	
	Claims Remain- ing	Highest # Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total	35	minus 33	02	x 9.00	\$ 18.00	x 18.00	\$
Indep.	04	minus 04	-	x 42.00	\$	x 84.00	\$
Multiple Dependent Claim(s):				\$140.00	\$	\$280.00	\$
				TOTAL:	\$ 18.00	TOTAL	\$

The Commissioner is hereby authorized to charge the required fee, any additional fees required in connection with this amendment, including fees for any extension of time, and credit any overpayment to Skadden, Arps, Slate, Meagher & Flom LLP Deposit Account No. 19-2385. Please reference Attorney Docket No. ICOR-002. A duplicate of this sheet is enclosed.

Date: April 10, 2003

Respectfully submitted,

  
\_\_\_\_\_  
Andrew F. Strobert Reg. No. 35,375

Skadden, Arps, Slate, Meagher & Flom LLP  
Four Times Square  
New York, NY 10036  
(212) 735-3272

Enclosure



ICOR-002

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4/25/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Handa et al.

Serial No.: 09/665,305

Examiner: Dr. Geoffrey Akers, P.E.

Filed: 09/19/2000

Group Art Unit: 3624

For: METHOD AND SYSTEM OF MANAGING CREDIT FOR THE  
ELECTRONIC TRADING OF FINANCIAL INSTRUMENTS

AMENDMENT AND RESPONSE

Address to:

Assistant Commissioner for Patents  
Washington, DC 20231

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**GROUP 3600**

Dear Sir:

In response to the Office Action dated October 11, 2002, Applicants respectfully request a three month extension of time and entry of the following amendment to the above-identified case as follows:

In the Claims:

Marked-up versions of all revised claims are included in Appendix A.

Please add new claims 34 and 35.

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